

# PROPRIETARY MATERIAL NOT OPEN TO PUBLIC. TO BE OPENED ONLY BY EXAMINER OR OTHER AUTHORIZED PATENT AND TRADEMARK OFFICE EMPLOYEE.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven J. PRESTRELSKI et al.

Title: Microparticle Formulations for

Sustained-Release of Bioactive

Compounds

Appl. No.: 09/521,139

Filing Date: 03/08/2000

Examiner: Humeran Sheikh

Art Unit: 1615

## TRANSMITTAL LETTER FOR PROPRIETARY INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56, 37 C.F.R. § 1.97, and M.P.E.P. § 724.02

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Attached hereto is a Proprietary Information Disclosure Statement submitting proprietary information to the Patent and Trademark Office in accordance with the requirements of 37 C.F.R. §1.56, 37 C.F.R. §1.97, and M.P.E.P. §724.02. Applicants respectfully request that the information be considered only by the Examiner in charge of the above-captioned application, or other authorized Patent and Trademark Office employee.

This Proprietary Information Disclosure Statement is being filed under the provisions of 37 C.F.R. §1.97(c), before the mailing date of either a final action under 37 C.F.R. §1.113, a notice of allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in the application.

02/17/2004 AWONDAF1 00000043 09521139

J2 FC:1808

180.00 GP

A fee in connection with submission of an information disclosure statement under 37 C.F.R. §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Date 12 February 2004

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Respectfully submitted,

A Richard C. Peet

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### PROPRIETARY INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.56 AND MPEP §§ 724

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The following is a listing of copending U.S. patent applications which relate to the above-identified application.

#### **SERIAL NO.**

#### **FILING DATE**

10/397,329

03/27/2003

09/922,218

08/03/2001

Applicants consider the aforementioned documents and the disclosure of their existence as pending applications to constitute proprietary information. Accompanying this Proprietary Information Disclosure Statement is a transmittal letter indicating that the materials contained herein are proprietary, as required by MPEP § 724.02.

40,413

Applicants respectfully request that the Examiner consider the foregoing information and provide in the next official communication the information set forth in MPEP § 724.04(a), particularly the information under item MPEP § 724.04(a)(D), so that the applicants can, if appropriate, subsequently file a petition to expunge those materials, as provided according to MPEP § 724.05.

Date 12 Fe brushy

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#### INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

#### TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

#### RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

#### FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

12 February

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